

## Durham Research Online

---

### Deposited in DRO:

27 February 2017

### Version of attached file:

Accepted Version

### Peer-review status of attached file:

Peer-reviewed

### Citation for published item:

Kazantsev, D. and Van Eyndhoven, G. and Lionheart, W.R.B. and Withers, P.J. and Dobson, K.J. and McDonald, S.A. and Atwood, R. and Lee, P.D. (2015) 'Employing temporal self-similarity across the entire time domain in computed tomography reconstruction.', *Philosophical transactions of the Royal Society A : mathematical, physical and engineering sciences.*, 373 (2043). p. 20140389.

### Further information on publisher's website:

<https://doi.org/10.1098/rsta.2014.0389>

### Publisher's copyright statement:

© 2015 The Authors. Published by the Royal Society under the terms of the Creative Commons Attribution License <http://creativecommons.org/licenses/by/4.0/>, which permits unrestricted use, provided the original author and source are credited.

## Use policy

---

The full-text may be used and/or reproduced, and given to third parties in any format or medium, without prior permission or charge, for personal research or study, educational, or not-for-profit purposes provided that:

- a full bibliographic reference is made to the original source
- a [link](#) is made to the metadata record in DRO
- the full-text is not changed in any way

The full-text must not be sold in any format or medium without the formal permission of the copyright holders.

Please consult the [full DRO policy](#) for further details.

## Research



Article submitted to journal

### Subject Areas:

xxxxx, xxxxx, xxxxx

### Keywords:

iterative reconstruction,  
spatial-temporal regularization, time  
lapse tomography, non-local means,  
structural prior

### Author for correspondence:

Daniil Kazantsev

e-mail:

[daniil.kazantsev@manchester.ac.uk](mailto:daniil.kazantsev@manchester.ac.uk)

# Employing temporal self-similarity across the entire time domain in CT reconstruction

D. Kazantsev<sup>1,2</sup>, G. Van Eyndhoven<sup>3</sup>, W. R. B. Lionheart<sup>4</sup>, P. J. Withers<sup>1,2</sup>, K. J. Dobson<sup>5</sup>, S. McDonald<sup>1</sup>, R. Atwood<sup>6</sup> and P. D. Lee<sup>1,2</sup>

<sup>1</sup> The Manchester X-ray Imaging Facility, School of Materials, The University of Manchester, Manchester, M13 9PL

<sup>2</sup> Research Complex at Harwell, Didcot, Oxfordshire, OX11 0FA, UK

<sup>3</sup> iMinds-Vision Lab, The University of Antwerp, B-2610 Wilrijk, Belgium

<sup>4</sup> School of Mathematics, Alan Turing Building, The University of Manchester, M13 9PL, UK

<sup>5</sup> Department of Earth and Environmental Sciences, Ludwig Maximilian University, Munich, Germany

<sup>6</sup> Diamond Light Source, Harwell Science and Innovation Campus, Didcot, OX11 0DE, UK

There are many cases where one needs to limit the x-ray dose, or the number of projections, or both, for high frame rate (fast) imaging. Normally it improves temporal resolution but reduces the spatial resolution of the reconstructed data. Fortunately, the redundancy of information in the temporal domain can be employed to improve spatial resolution. In this paper, we propose a novel regularizer for iterative reconstruction of time-lapse computed tomography (CT). The non-local penalty term is driven by the available prior information and employs all available temporal data to improve the spatial resolution of each individual time frame. A high resolution prior image from the same or a different imaging modality is used to enhance edges which remain stationary throughout the acquisition time while dynamic features tend to be regularized spatially. Effective computational performance together with robust improvement in spatial and temporal resolution makes the proposed method a competitive tool to state-of-the-art techniques.

## 1. Introduction

In many situations in X-ray tomographic imaging it is not possible to collect enough data for good quality reconstructions using conventional filtered back projection (FBP) techniques [1]. Examples can be found in medical imaging, where the accumulated dose must be kept to a minimum and in the imaging of quickly evolving events, where the time per projection or the number of projections must be severely reduced in order to capture the temporal dynamics of the scanned sample. In such cases, iterative techniques can provide better reconstructions [2].

When dealing with iterative image reconstruction there is a strong need for regularization techniques which impose *a priori* information on the desired solution [2,3]. The nature of this information can be different, for example, some local or non-local neighbour correlations can be encouraged [4]. In some cases, additional information can be extracted not only from the spatial domain but also from the temporal space [5,6]. Sometimes, it is possible to augment the main reconstruction dataset with supplementary information using the same or a different imaging modality [7,8]. Normally, other modality dataset will have a different image characteristics, such as, intensity, resolution, geometry and noise variation. This can restrict the “direct” embedding of the prior information into reconstruction process [8].

Previously, there have been successful attempts to improve spatial resolution in time-lapse tomography using prior information [9–12]. This supplementary information is normally obtained before the time-lapse experiment (e.g. a pre-scan in high resolution) and regarded as the reference image. For example, in [9], the assumption about the prior image is provided without the explicit use of regularization which leads to improvement in resolution. The use of high resolution image to regularize the main dataset is already a well-established approach and one of the most common approaches in this area is Prior Image Constrained Compressed Sensing (PICCS) [10], which employs a high quality prior image in the sparse regularization framework to improve spatial resolution.

In [11], supplementary information is provided to improve a non-local regularization strategy. Non-local (NL) image regularization [13], which is based on successful NL denoising methods [14], has been commonly applied to image reconstruction problems [16–18] and also to time-lapse reconstruction [11,12,19].

In this paper, we present a novel multi-modal non-local regularization technique which uses supplementary information to drive a spatio-temporal regularization process for time-lapse tomography. We use a prior image of higher resolution that can be from the same or a different imaging modality, which distinguishes our method from the previously proposed mono-modal algorithms [9–12]. Additionally, the proposed algorithm employs all the available temporal information (not just adjacent time frames as in [19]) which greatly improves the signal-to-noise ratio (SNR) of reconstructions. The prior image is used to select the most structurally valuable neighbours for temporal regularization, which also leads to improved spatial resolution and substantially accelerates numerical performance.

In common with [12] we aim to minimize the computational complexity and achieve a sufficient trade-off for spatio-temporal resolution while using non-local regularizers. While the method in [12] sacrifices temporal resolution to improve spatial resolution we aim to restore the desirable balance by introducing a constraint which restricts regularization across dissimilar time frames.

The proposed method is compared to state-of-the-art PICCS regularization technique and shows much more promising results when the given prior image is not ideal (noisy and/or partially uncorrelated with the imaged dataset).

It should be noted that in the current state our method is well suited for a specific class of video denoising or time-lapse reconstruction problems. Specifically, our technique has the potential to significantly enhance edges which remain stationary throughout the acquisition experiment while dynamic features are tend to be regularized spatially. In material science our method is well suited

to problems such a fluid flow through rigid porous structures such as rocks [12], solid oxide fuel cells [20] and bioscaffolds [21].

## 2. Method

### (a) Parallel beam time lapse tomography

A discrete representation of the stationary attenuation coefficients to be reconstructed can be written as a system of linear equations

$$b_j = \sum_{i=1}^N a_{ji} x_i + \delta_j, \quad (2.1)$$

where  $b_j, j = 1, \dots, M$  is the measured projection data (sinogram) and  $M$  is the total number of projections,  $x_i, i = 1, \dots, N$  is the discrete distribution of attenuation coefficients to be reconstructed ( $N$  is the total number of image elements) and  $\delta_j$  is the noise component in the measurements  $b_j$ . Weights  $a_{ji} \in [0, 1]$  (contribution of element  $i$  to the value detected in the bin  $j$ ) are forming the sparse system matrix  $A: \mathbb{R}^N \rightarrow \mathbb{R}^M$ .

Let us consider a problem in which part of the image changes over time and the other part remains effectively stationary. Writing equation (2.1) in a matrix-vector form and adding the temporal dimension gives

$$\mathbf{b}_k = A_k \mathbf{x}_k + \boldsymbol{\delta}_k, \quad k = 1, 2, \dots, K \quad (2.2)$$

where  $K$  is a total number of 3D time frames. Similarly to the algorithm in [12] we use all available time frames.

The explicit (direct) solution for (2.2) can be written as  $\hat{\mathbf{x}}_k = A_k^\dagger \mathbf{b}_k$  with a pseudo-inverse  $A_k^\dagger = (A^T A)_k^{-1} A_k^T \mathbf{b}_k$ . This direct inversion (if practically possible) is highly sensitive to noise due to amplification of high-frequency components:  $\hat{\mathbf{x}}_k = A_k^\dagger \mathbf{b}_k = A_k^\dagger (A_k \mathbf{x}_k + \boldsymbol{\delta}_k) = \mathbf{x}_k + A_k^\dagger \boldsymbol{\delta}_k$ . In our case the system of equations (2.2) is severely underdetermined ( $M \ll N$ ) and the system matrix  $A$  is ill-conditioned. To find an approximate solution  $\hat{\mathbf{x}}$  from the undersampled noisy measurements one can choose regularized iterative techniques instead of direct approaches [2,3].

In this paper, we aim at reconstructing iteratively the set of images  $\mathbf{x}_k$  while adding a novel spatio-temporal regularization penalty.

### (b) Regularized time-lapse iterative reconstruction algorithm

Define  $\mathbf{X} := (\mathbf{x}_1^T, \mathbf{x}_2^T, \dots, \mathbf{x}_K^T)^T$  as the vector containing all images of the time lapse series and similarly define the measured projections vector as  $\mathbf{B} := (\mathbf{b}_1^T, \mathbf{b}_2^T, \dots, \mathbf{b}_K^T)^T$ . Therefore the system of equations to solve is  $\mathbf{B} = \mathbf{A}\mathbf{X}$ , where the block diagonal matrix  $\mathbf{A}$  is given as:

$$\mathbf{A} = \begin{bmatrix} A_1 & 0 & \dots & 0 \\ 0 & A_2 & & 0 \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \dots & A_K \end{bmatrix} \quad (2.3)$$

The traditional approach to solve a linear system of equations, such as (2.1), is to find the best fit  $\hat{\mathbf{x}}$  to the exact  $\mathbf{x}$  using the least-squares (LS) approximation [22]. In other words, one would like to minimize the  $\ell_2$  norm between the forward projections and the measure projection data:

$$\hat{\mathbf{X}} = \underset{\mathbf{X}}{\operatorname{argmin}} \left\{ \frac{1}{2} \|\mathbf{B} - \mathbf{A}\mathbf{X}\|_2^2 \right\}, \quad (2.4)$$

where  $\hat{\mathbf{X}} := (\hat{\mathbf{x}}_1^T, \hat{\mathbf{x}}_2^T, \dots, \hat{\mathbf{x}}_K^T)^T$ . The optimization problem (2.4) is quadratic and can be solved using gradient based techniques, such as the conjugate gradient least squares (CGLS) algorithm

[22]. To turn (2.4) into a well-posed problem, one has to *regularize* the solution  $\mathbf{X}$  by adding a *penalty* term  $R(\mathbf{X})$ , resulting in the following regularized problem:

$$\hat{\mathbf{X}} = \underset{\mathbf{X}}{\operatorname{argmin}} \underbrace{\left\{ \frac{\beta}{2} \|\mathbf{B} - \mathbf{A}\mathbf{X}\|_2^2 + R(\mathbf{X}) \right\}}_{\Phi(\mathbf{X})}, \quad (2.5)$$

where  $\beta$  is a regularization parameter which represents the trade-off between the data fidelity and the regularization term.

The gradient of the cost function  $\Phi(\mathbf{X})$  can be calculated as:

$$\nabla \Phi(\mathbf{X}) = \beta \mathbf{A}^T (\mathbf{A}\mathbf{X} - \mathbf{B}) + \nabla R(\mathbf{X}). \quad (2.6)$$

Rather than using direct minimization approaches (e.g. gradient descent) to solve problem (2.5) one can use splitting techniques [23]. The idea is to split the data fidelity and regularization terms using proximity operators. This approach leads to simpler stackable optimization problems, such as forward-backward splitting (FBS) or Bregman-type methods [16]. Applied to our minimization problem (2.5), the estimate  $\hat{\mathbf{X}}$  can be computed using the following two-step FBS algorithm:

$$\begin{cases} \mathbf{V}^{n+1} = \mathbf{X}^n - \tau \left[ \mathbf{A}^T (\mathbf{A}\mathbf{X}^n - \mathbf{B}) \right] \\ \mathbf{X}^{n+1} = \underset{\mathbf{X}}{\operatorname{argmin}} \left( R(\mathbf{X}) + \frac{\beta}{2} \|\mathbf{X} - \mathbf{X}_0\|_2^2 \right); \mathbf{X}_0 = \mathbf{V}^{n+1}. \end{cases} \quad (2.7)$$

In algorithm (2.7), one can see that the first step solves the unregularized LS problem and the second is the data term dependent image denoising step [16]. To accelerate convergence of (2.7) we will replace the gradient descent (GD) minimization (first step) with a Conjugate Gradient Least Squares (CGLS) algorithm [22]. Although CGLS converges faster than GD, the overall convergence proof for (2.7) method does not hold anymore [23], however, in practice this combination provides successful results [19]. The main focus of our interest here is the nature of the penalty term  $R(\mathbf{X})$ .

### (c) NL-means based spatio-temporal regularization

The discrete representation of the spatio-temporal (ST) regularization term is based on NL gradient [16,17] and given by

$$R(\mathbf{X}) = \sum_{k=1}^K \sum_i^N \sum_{j \in N_s(i)} \omega_{i,j}(x_k) (x_j - x_{i,k})^2, \quad (2.8)$$

where the *search* domain  $N_s$  is restricted to the volumetric neighbourhood size of  $N_{\text{search}} \times N_{\text{search}} \times K$  with the number of neighbours equal to  $N_{\text{search}}^2 K$ . Note that the volumetric search area  $N_s$  includes all time-frames  $K$ . Non-negative and symmetric weights  $\omega_{i,j}$  are calculated as:

$$\omega_{i,j}(x) = \exp \left( - \frac{\sum_{l \in N_p(x_{i,l} - x_{j,l})^2}{h^2} \right), \quad (2.9)$$

where  $N_p$  is a quadratic *similarity* patch size of  $N_{\text{sim}} \times N_{\text{sim}}$  and parameter  $h$  corresponds to the noise level in  $\mathbf{x}$ .

The Euler-Lagrange equation of the second minimization problem in (2.7) with the penalty term (2.8) is as follows:

$$\sum_{k=1}^K \sum_i^N \sum_{j \in N_s(i)} \omega_{i,j}(x_k) (x_j - x_{i,k}) + \beta (\mathbf{X} - \mathbf{X}_0) = 0. \quad (2.10)$$

With the weight term fixed, the Euler-Lagrange equation (2.10) is linear and GD based schemes can be used to find the solution. Here we used the fixed point minimization scheme to solve (2.10)

efficiently [15]:

$$\mathbf{X}^{n+1} = \frac{\beta \mathbf{X}_0 + \sum_{k=1}^K \sum_i^N \sum_{j \in N_s(i)} \omega_{i,j}(x_k) x_j}{\beta \sum_{k=1}^K \sum_i^N \sum_{j \in N_s(i)} \omega_{i,j}(x_k)} \quad (2.11)$$

As it can be seen from the ST regularizer (2.8), there is no special treatment for  $x_{i,t}; t = [1, 2, \dots, K] \setminus \{k\}$  elements which are dissimilar to  $x_{i,k}$ . When intensity of  $x_{i,t}$  is different from intensity of  $x_{i,k}$  element there is a probability that the information in  $t$  frame is quite different to the current time-frame  $k$ . Therefore, if regularization is unconstrained for  $t$  frame it can potentially lead to over-smoothing of dynamic (or dissimilar) features [12]. Similar to the method introduced in [18], we constrain regularization across potentially dissimilar time frames with the following rule:

$$\gamma < \frac{x_{i,k}}{x_{i,t}} < \frac{1}{\gamma}; t = 1, 2, \dots, K, \quad (2.12)$$

where  $\gamma$  is a constant. For every  $i$ -th element in time frame  $k$  we check that the  $i$ -th element in different time frame  $t$  is similar in terms of intensity. If elements are dissimilar ((2.12) is not fulfilled) the temporal frame  $t$  is not considered for regularization within the search space  $N_s(i)$ . During our experiments we found that the condition (2.12) and the choice of  $\gamma$  is critical to avoid smoothing of dynamic features.

Although, the proposed ST penalty term can handle random noise in reconstructed images much better than just a spatial penalty, the current implementation is computationally infeasible. In the next section we will show how additional information can be embedded into (2.8) to improve spatial resolution and significantly reduce computational time.

#### (d) Embedding structural information into ST regularization

Let  $z_i, i = 1, \dots, N$  be a supplementary dataset, then the structural information can be extracted from  $z$  in the following way. The following similarity measure is calculated as:

$$\varphi(z) = \sum_i^N \sum_{j \in N_r(i)} \sum_{l \in N_p} (z_{i,j,l} - z_{j,i,l})^2, \quad (2.13)$$

where  $N_r$  is a quadratic similarity patch size of  $N_{\text{search}} \times N_{\text{search}}$ . The vector  $\varphi$ , calculated for every  $z_i$ , provides distribution of similarity values within the window  $N_r$ . Smaller values in  $\varphi$  demonstrate higher similarity to  $z_i$  and by sorting values from low to high, one can choose  $n_0$  of the most similar to  $z_i$  elements:

$$n_0 = \left\lceil (N_{\text{search}})^2 n_p \right\rceil; n_p \in (0, 1], \quad (2.14)$$

where  $n_p$  is empirically chosen parameter which controls the number of  $j$ -th elements in  $N_r$  taken to build a structural set.

Let us define a structural set  $S_z(i, n_0, N_r)$  which consists of  $n_0$  most similar to  $z_i$  elements within the quadratic window  $N_r$ . The set  $S_z(i, n_0, N_r)$  is created according to the selection rule (2.14). If, the supplementary image  $z$  has an improved resolution over  $x_k$  and images have structural similarity (at least partially), then one can use the set  $S_z(i, n_0, N_r)$  to drive the regularization process. The main aim of structural set  $S_z(i, n_0, N_r)$  is to reduce dimensionality of the volumetric search space  $N_s(i)$  in (2.8). The modified set  $\hat{N}_s(i)$  has the same spacial dimensions as  $N_s(i)$ , but the number of neighbours for regularization process is reduced to  $n_0 K$ . One can see that  $n_0 K \ll N_{\text{search}}^2 K$  when  $n_p \ll 1$  in (2.14).

This approach is similar to the one which is used for multi-modal image reconstruction [8], however since it is non-local, it is more stable to noise than just using local voxel absolute differences [12]. This means that the proposed technique is a much more robust way of extracting additional information from a prior image which also can be degraded with noise or image artifacts.

### (e) Pseudocode for the proposed NLST algorithm

Here we present a pseudocode for time-lapse tomographic reconstruction using the proposed structurally driven NLST penalty (2.8).

---

**Algorithm 1** Iterative image reconstruction using the NLST regularization using structural information

---

**Initialize:**  $\mathbf{X} = \mathbf{0}$ ,  $\mathbf{z}$ ,  $\beta$ ,  $N_{search}$ ,  $N_{sim}$ ,  $n_p$ ,  $\gamma$ ,  $h$ ,  $MaxOuter$ ,  $MaxInner$ ,  $\epsilon$

**while**  $m < MaxOuter$  **do**

  CGLS step to obtain estimate  $\mathbf{V}^{m+1}$

  set  $\mathbf{X}_0 = \mathbf{V}^{m+1}$

**while**  $n < MaxInner$

**calculate**  $\mathbf{X}^{n+1}$  **using** (2.11)

      check that  $\|\mathbf{X}^{n+1} - \overline{\mathbf{X}}\|_2^2 < \epsilon$

$n = n + 1$

**end**

  set  $\mathbf{V}^{m+1} = \mathbf{X}^{n+1}$

  check that  $\|\mathbf{V}^{m+1} - \overline{\mathbf{X}}\|_2^2 < \epsilon$

$m = m + 1$

**end**

---

## 3. Numerical Experiments

In this section, two different numerical experiments are performed, which demonstrate the improvement of the proposed NLST technique over a state-of-the-art PICCS method [18]. The aim of the PICCS method is the same as the method proposed and involves the integration of a prior image into the reconstruction process to improve spatio-temporal resolution. The optimization problem for PICCS using the total variation (TV) penalty [24] and a prior image  $\mathbf{z}$  is given as:

$$\hat{\mathbf{x}}_k = \arg \min_{\mathbf{x}_k} \left[ \alpha \|\mathbf{x}_k - \mathbf{z}\|_{TV} + (1 - \alpha) \|\mathbf{x}_k\|_{TV} + \frac{\lambda}{2} \|\mathbf{b}_k - A_k \mathbf{x}_k\|_2^2 \right]; \alpha \in [0, 1]. \quad (3.1)$$

We perform PICCS optimization with respect to each time frame  $\mathbf{x}_k$ . The main goal of (3.1) is to find the best approximation to each time frame  $\mathbf{x}_k$  when  $\mathbf{z}$  is available and the trade-off between  $\mathbf{x}_k$  and  $\mathbf{z}$  is controlled by a parameter  $\alpha$ . Note that PICCS is not using all available temporal information as the NLST method but is based solely on the prior image  $\mathbf{z}$  and the current time frame  $\mathbf{x}_k$ . We optimized (3.1) using FBS splitting where the LS term was solved independently with CGLS and the PICCS minimization sub-problem was performed with the GD method using the time step parameter  $\tau$  (see table (1)).

To avoid storing the large sparse matrix  $A$  we used on-the-fly forward and backward projection operations of the GPU accelerated modules from the ASTRA toolbox [27]. C-OMP implementation using MATLAB wrapper of the proposed NLST algorithm (2.8) is freely available here [25].

To quantify our results we use two measures: the first measure is the root mean square error (RMSE):

$$\text{RMSE}(\bar{x}, \hat{x}) = \sqrt{\frac{1}{N} \sum_{i=0}^N (\bar{x}_i - \hat{x}_i)^2}. \quad (3.2)$$

where  $\bar{x}$  is the exact image and  $\hat{x}$  is a reconstructed image. And the second is the structural similarity index (SSIM) [28] which is given as:

$$\text{SSIM}(\bar{x}, \hat{x}) = \frac{(2\mu_{\bar{x}}\mu_{\hat{x}} + C_1) + (2\sigma_{\bar{x}\hat{x}} + C_2)}{(\mu_{\bar{x}}^2 + \mu_{\hat{x}}^2 + C_1)(\sigma_{\bar{x}}^2 + \sigma_{\hat{x}}^2 + C_2)}; \text{SSIM} \in [-1, 1], \quad (3.3)$$

where  $\mu$  and  $\sigma$  are the mean intensity and standard deviation of image block respectively (we used a  $8 \times 8$  quadratic patch).  $\sigma_{\bar{x}\hat{x}}$  denotes the cross-correlation and  $C_{1,2}$  are small constants to avoid singularity [28]. SSIM is a more advanced quality measure than RMSE (3.2), as it considers image degradation as a visually perceived change in structural information. The SSIM value equals 1 if images are identical.

We optimized thoroughly all the reconstruction parameters (see section (a)) and the optimal parameters are given in table (1).

Table 1: Parameters for the image reconstruction experiment (see Fig. 5).

Parameter	Method	Value	Description
<i>MaxOuter</i>	NLST	11	Outer iterations (CGLS) number in alg. (1)
<i>MaxInner</i>	NLST	1	Inner iterations number in alg. (1)
$N_{\text{search}}$	NLST	11	The size of the searching window
$N_{\text{sim}}$	NLST	3	The size of the similarity window
$n_p$	NLST	0.05	The number of $n_0$ neighbours (2.14)
$\beta$	NLST	2.6	Regularization parameter (2.11)
$h$	NLST	0.15	Noise dependent threshold (2.9)
$\gamma$	NLST	0.9	Parameter in (2.12)
<i>MaxOuter</i>	PICCS	12	Outer iterations (CGLS) number
<i>MaxInner</i>	PICCS	25	Inner GD iterations number
$\lambda$	PICCS	0.01	Regularization parameter (3.1)
$\alpha$	PICCS	0.4	Trade-off parameter (3.1)
$\tau$	PICCS	0.001	Time step parameter for GD
$\epsilon$	NLST and PICCS	1e-05	An iteration tolerance constant

### (a) Image reconstruction of modelled data

Similarly to [12], a synthetic dynamically changing phantom for time-lapse tomographic image reconstruction was created as follows. First, a high quality reconstruction based on an X-ray projection dataset collected for a rock sample (porous granitic gravel), which was acquired on a Nikon XTH 225 ST cone beam scanner at the Manchester X-ray facility, was calculated with the Feldkamp algorithm (FDK). This reconstruction is displayed in Fig. 1 (left). Based on this reconstruction, the rock region was extracted and all other attenuation values were set to zero, resulting in the image displayed in Fig. 1 (middle). Next, fluid flow was simulated in the void space region, where the time points at which fluids enters a certain voxel were randomly generated by applying a global thresholding operation on a 2D Perlin noise image [26]. The stationary and dynamic regions of interest (ROIs) are shown in Fig. 1 (right).

In this experiment, we simulated two cases, namely cases where 45 and 25 projections were taken per time frame (30 time frames in total) resulting in 1350 and 750 projections respectively. Projections were collected using the Golden Ratio (GR) firing order technique [29]. The GR



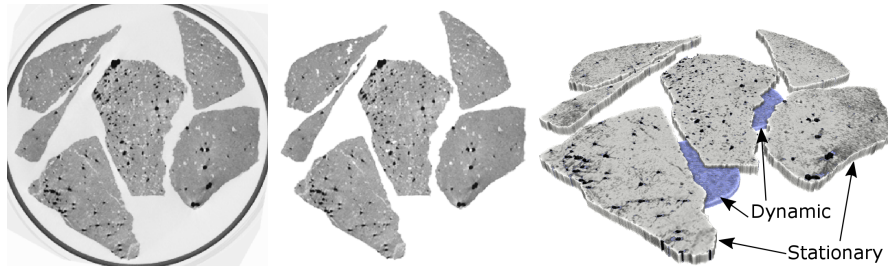


Figure 1: From left to right: reconstruction of the porous granitic gravel sample from 2000 projections using the FDK algorithm; realistic rock phantom created from image; rendered 3D phantom ( $x, y + \text{time}$ ) phantom where stationary and dynamic ROI's are shown.

scanning approach is used to obtain projections in a non-sequential order. The basic idea is to adapt the angular sequence of projections so that any subsets of chronologically contiguous projections contain sufficient information for reconstruction. This technique is well suited to iterative reconstruction methods because one can divide the scan into an arbitrary number of subscans which are normally sampled below the Nyquist rate. Each projection was generated with a strip kernel [1] and a higher resolution version of the phantom, i.e., on a  $800 \times 800$  isotropic pixel grid. Poisson distributed noise was applied to the projection data, assuming an incoming beam intensity of 30000 (photon count). Reconstructions were calculated on a  $300 \times 300$  isotropic pixel grid and with a linear projection model [1], thus avoiding the “inverse crime” of generating the data with the same model as the model that is used for calculating the reconstruction. In total, 30 different time frames were reconstructed by subdividing the simulated projection data into 30 distinct subsets of 45 and 25 projections each.

For a fair comparison of the CGLS-PICCS and CGLS-NLST methods we initially optimized the parameters (see parameters in table 1). In Fig. (2) we present the result of the final optimization procedure for  $\alpha$  of PICCS and  $\beta$  of the NLST method. Other parameters previously chosen to be optimal (or nearly optimal) are fixed as shown in table 1.

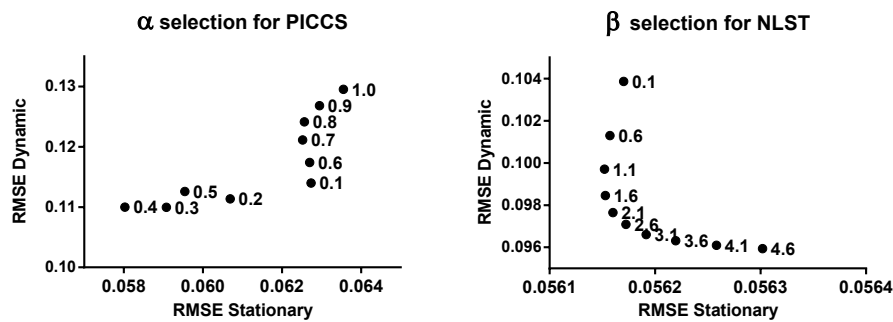


Figure 2: Optimization procedure to find the optimal values of  $\alpha$  for the PICCS method (3.1) and  $\beta$  for the NLST method (2.11). The optimization was performed with respect to RMSE values in stationary and dynamic ROI's of the phantom (see Fig. 1(right)).

In Fig. 3 we show the obtained RMSE values for the CGLS, CGLS-PICCS and CGLS-NLST methods for cases when 45 and 25 projections are used to reconstruct each time frame. One can see that the proposed CGLS-NLST method outperforms CGLS-PICCS in both cases. Notably, for the case reconstructed from 25 projections per time frame the difference in RMSE values between

NLST and PICCS becomes more apparent (see Fig. 3 (right)). Those results demonstrate that the proposed method is more robust in dealing with under-sampled noisy projection data.

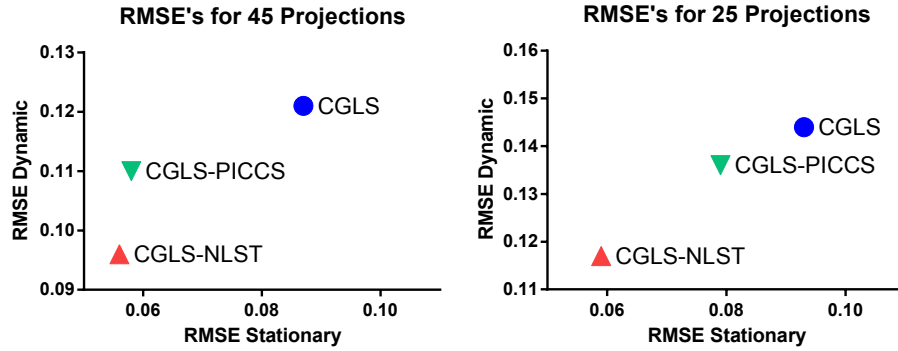


Figure 3: RMSE values for the whole data set  $\hat{X}$  reconstructed with different methods from 45 (left) and 25 (right) projections per time frame  $k$ . The proposed regularization method outperforms the CGLS-PICCS and CGLS methods.

The SSIM values were calculated for the reconstructed datasets and shown in Fig. 4. The time frames  $k = 1, 7, 15, 22$  from the whole reconstructed dataset  $\hat{X}$  for 45 projections are shown in Fig. 5. One time frame  $k = 22$  is shown in Fig. 6 where reconstruction from 25 projection angles is performed.

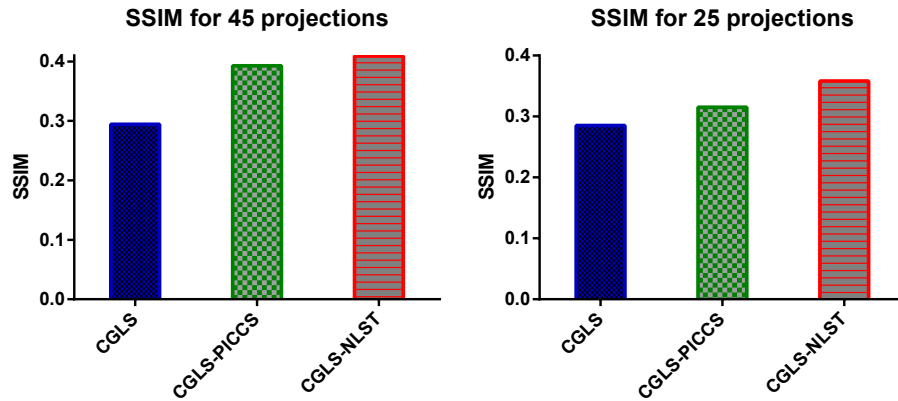


Figure 4: SSIM values for the whole data set  $\hat{X}$  reconstructed with different methods from 45 (left) and 25 (right) projections per time frame  $k$ . The proposed method slightly outperforms the CGLS-PICCS method for 45 projections reconstruction case and more significantly for 25 projections.

For reconstructions with the CGLS-PICCS and CGLS-NLST methods (see Fig. 5 and Fig. 6) we used the reference image which was reconstructed with the CGLS method from 1350 noisy dynamically changing projections (see Fig. 5 (top)). Note that the reference image is noisy and dynamic resolution is lost through time averaging in reconstruction process. In Fig. 5 and Fig. 6 one can see that the CGLS-PICCS method is able to improve spatial resolution while using the reference image, however the noise level is high. The proposed CGLS-NLST method delivers significant improvement in spatial and temporal resolution and SNR.

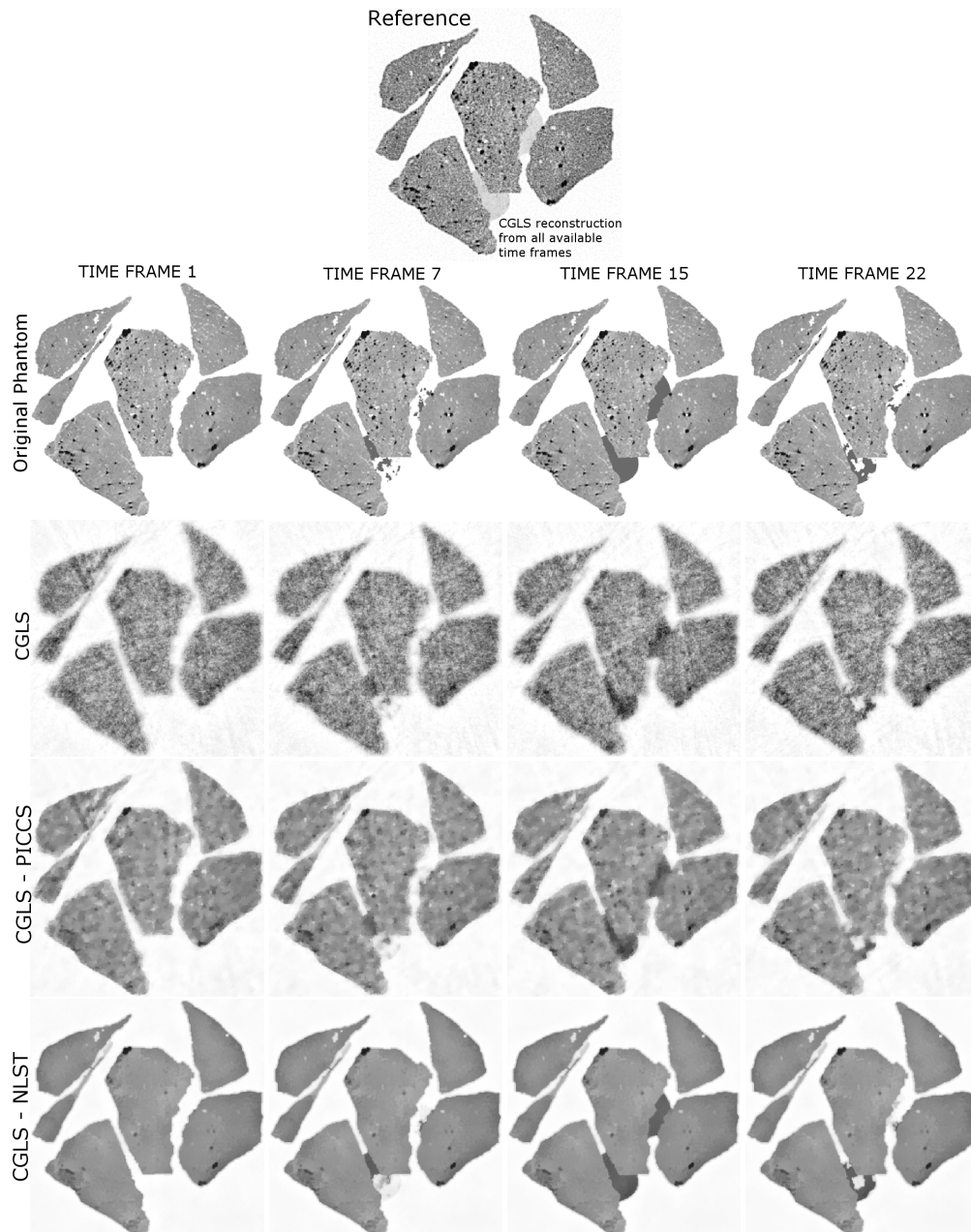


Figure 5: 2D reconstructions of 30 time frames (45 projections each), of which four time frames are shown. The presented images were reconstructed using the CGLS method (10 iterations), CGLS-PICCS and CGLS-NLST methods. The reference image (top) is reconstructed with the CGLS method (15 iterations) from 1350 noisy projections and contains averaged dynamic ROI. The images reconstructed with the proposed method demonstrate high spatial and temporal resolution and low level of noise.

Reconstruction from 25 projections per time frame (see Fig. 6) demonstrates that the proposed method strongly outperforms CGLS-PICCS for under-sampled noisy projection data. Quantitatively there is also a significant difference in values between the two methods (see Fig. 3 and Fig. 4).

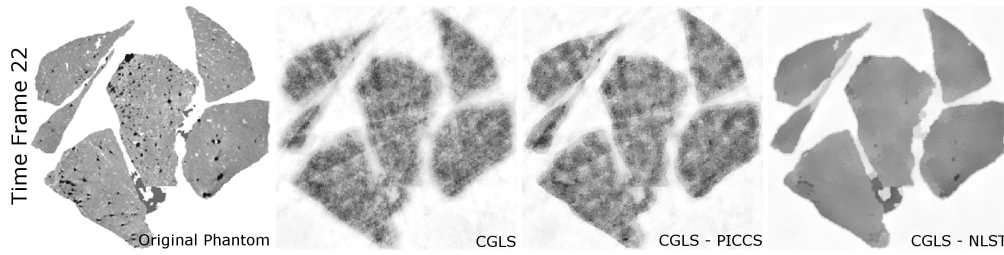


Figure 6: 2D reconstructions of 30 time frames (25 projections each), of which four time frames are shown. For reconstruction with CGLS-PICCS and CGLS-NLST the same reference image used as in Fig. 5 (top). The CGLS-NLST method strongly outperforms the CGLS-PICCS method here.

The choice of  $n_p$  parameter in (2.14) is important since it reduces the search space (less time for computation) and also drives the regularization process based on the reference image which results in improved resolution. In Fig. 7, we demonstrate that the optimal value for  $n_p$  is approximately around 0.09 and the computation time with this value is less than 30 seconds for one fixed point iteration (2.11). This is more than ten times faster than we take the whole searching space  $n_p = 1, n_0 = (N_{search})^2$ .

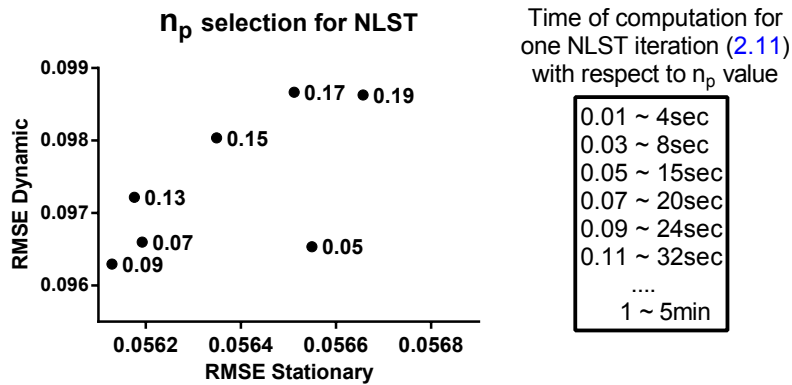


Figure 7: The effect of the  $n_p$  parameter on the accuracy of reconstruction and the computation time. The optimal value is  $n_p = 0.09$  and the computation speed is less than 30 seconds for one fixed point iteration (2.11). The data parameters are  $300 \times 300 \times 30$  pixels and 4 Intel CPU cores i5 (2.5 GHz) were used.

#### 4. Real data tomographic reconstruction

Here we present numerical results for a real tomographic reconstruction problem of dynamically evolving objects. Tomographic inversion in this case is severely under-determined and projection data is contaminated by random noise and artifacts (rings and streaks).

The tomographic experiment (experiment ee10500-1) was performed at I12 JEEP beamline facility of the Diamond Light Source (DLS) synchrotron (Harwell, UK). The flow of potassium iodide solution was imaged by suspending the flow outlet tube over the centre of a rotating 15 mm diameter sample holder and allowing a controlled supply of fluid into the sample (bimodal glass beads 1 : 1 by mass 0.5 mm and 1 mm diameter). The column of particles was rotating at approximately 3 Hz. The sample was illuminated with direct monochromatic x-rays of 53 keV



energy. A Vision Research Miro 310M camera was used to acquire the images using a 200-900 microsecond exposure and an projection acquisition rate of 1080 frames per second. Prior to flow, a high resolution “dry” scan was obtained with 1800 projections in 180 degrees. During the flow a continuous sequence of over 18000 dynamically evolving “wet” projections were acquired with 180 projections over 180 degrees.

We down-sample the resulting data to 500 projections for the “dry” scan and the dynamically evolving data (“wet”) to 90 projections per time frame. The size of each 2D XY slice is  $1024 \times 1024$  pixels and due to parallel geometry each slice can be reconstructed independently. The “dry” scan was reconstructed iteratively (20 iterations) with CGLS (see Fig. 8) and used as a prior image for the CGLS-PICCS and CGLS-NLST methods. The reference image has sharp contrast (all sizes of glass particles are visible), but some level of noise and reconstruction artifacts are present. We reconstruct thirty dynamically changing volumes and one slice of one of the time frames where liquid is present is shown for the CGLS, CGLS-PICCS and CGLS-NLST methods (see Fig. 8) and show how the dynamic information within the data sets can be rendered for subsequent qualitative and quantitative analysis (see Fig. 9). The CGLS reconstruction has poorer resolution and higher noise level. The CGLS-PICCS successfully embeds the prior information into the reconstruction resulting in higher resolution, but overall the reconstruction is noisy. The proposed CGLS-NLST method produces denoised image with the sharpest contrast and distinctly outlined liquid front (central ROI). The sharp contrast between liquid and glass particles will significantly alleviate the post-processing step.

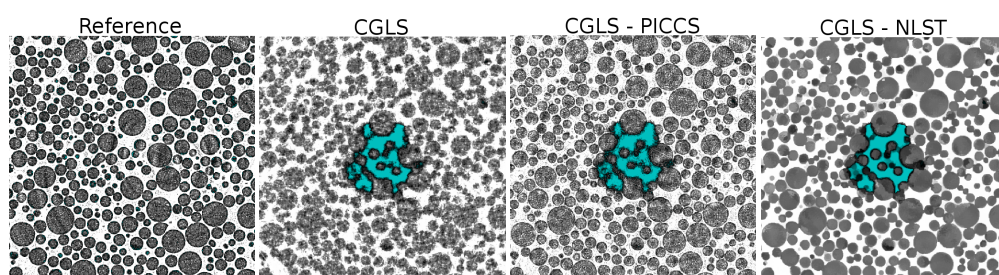


Figure 8: Magnified part of the glass beads dataset (one slice from one of the thirty volumetric time frames) with the distinct liquid progress (central ROI). The reference “dry” image is reconstructed with twenty CGLS iterations and used in the CGLS-PICCS and CGLS-NLST algorithms. One can see that the CGLS-NLST method gives the best spatial resolution and sharpest contrast between the liquid and glass particles.

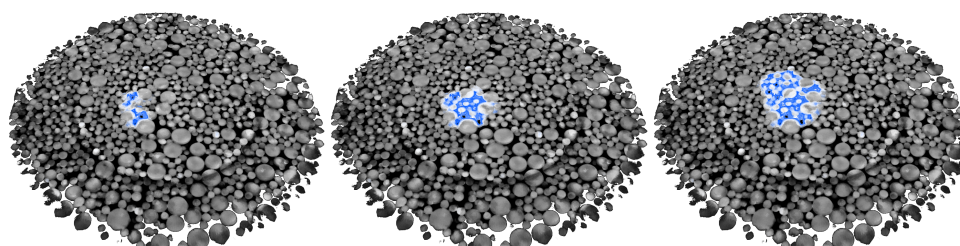


Figure 9: The rendered images of the scanned sample showing the liquid ingress into the glass beads. The volumes (only 50 slices are shown) were reconstructed using the CGLS-NLST method from 90 projections per time frame (time frames  $k = 1, 7, 15$  were taken).

Here we comment on the process of choosing the optimal parameters for the compared methods for real data reconstruction. Although the CGLS-PICCS method has a smaller number of controlled parameters (see table 1) it has been much more difficult (compared to the CGLS-NLST method) to find the optimal (visually pleasing) parameters for CGLS-PICCS. In contrast to the CGLS-NLST method we used exactly the same set of parameters as in table 1 (only  $\beta$  was chosen differently), however for the CGLS - PICCS we were optimizing for the  $\lambda$  and  $\alpha$  parameters. If the prior image is not ideal (as in our case) it is more difficult with CGLS - PICCS to find the best trade-off between the noise level present in the data and the prior image as well as to avoid blurring of dynamically changing features. We conclude that the proposed the CGLS-NLST method is robust to noise in the prior images, is aware of dynamic features (different from the prior image) present in the data and is easy to use.

## 5. Discussion

Exploiting all the available time frames in spatio-temporal regularization is a challenging task and a good balance is required between spatial and temporal resolution. For the proposed method we assume that some features are repetitive in time and can be spatially enhanced by the temporal correlation. Because of this requirement, not every time-lapse tomographic data set is suitable for the proposed method. Some restrictions are that some structural features should be aligned in time (otherwise there is no benefit of using this approach) and the prior image is registered to the main dataset. Although the computation time on CPUs (OMP realization in C language [25]) is significantly reduced with the proposed approach (which makes it feasible even for the large datasets), a GPU implementation has the potential to accelerate this method even further with a massive thread parallelization.

The reference image can be obtained by scanning the object for a longer period of time prior to the dynamic experiment. If the prior image is not available, one can use the reconstructed image (as a reference) from all collected projection data as it shown in the modelled numerical experiment (see section (a)). If there is no direct way to obtain a good estimate to constrain regularization, one should consider methods similar to [12].

## 6. Conclusion

In this paper, we presented results of a novel spatio-temporal regularization technique which is based on non-local methods for image denoising. Our method is generalized to employ all available temporal information and the supplementary data. By employing the temporal correlation of repetitively imaged object and available prior information, it is possible to achieve a higher spatial resolution, SNR and the speed of computation in comparison to the state-of-the-art reconstruction algorithms.

In the current state, this method has the potential for dynamic tomographic applications where some parts of the imaged object are fixed and others are varying over time. The flexibility of the proposed regularizing penalty and ease of computer implementation makes it transferable across a wide range of imaging applications.

## Acknowledgment

This work has been supported by the Engineering and Physical Sciences Research Council under grants EP/J010456/1 and EP/I02249X/1. Travel and access to PSI ICON was supported by the European Commission under the 7th Framework Programme through the "Research Infrastructures" action of the "Capacities" Programme, NMI3-II Grant No. 283883, Project No.20120553, and we thank Diamond Light Source for access to beamline i12 ee10500-1. Networking support was provided by the EXTREMA COST Action MP1207. S. McDonald would like to acknowledge financial support from the BP international centre for advanced materials project ICAM03.

## References

1. Kak AC, Slaney M, 2009, *Principles of computerized tomographic imaging*. IEEE Press, New York.
2. Lalush DS, Wernick MN. 2004, *Emission Tomography, Chapter 21 - Iterative Image Reconstruction*. Academic Press.
3. Qi J, Leahy RM. 2006, Iterative reconstruction techniques in emission computed tomography. *Phys Med. Biol.* **51**, pp. 541–578.
4. Li SZ, 2009, *Markov random field modeling in image analysis*. Springer.
5. Jähne B, 1993, *Spatio-temporal image processing: theory and scientific applications* (Vol. 751). Springer.
6. Borman S, Stevenson RL. 1998, Super-resolution from image sequences-a review. *Midwest Symposium on Circuits and Systems*, pp. 374–374.
7. Kaipio JP, Kolehmainen V, Vauhkonen M, Somersalo E. 1999, Inverse problems with structural prior information, *Inverse Problems*, **15**(3), pp. 713–729.
8. Kazantsev D, Ourselin S, Hutton BF, Dobson KJ, Kaestner AP, Lionheart WRB, Withers PJ, Lee PD, Arridge SR. 2014, A novel technique to incorporate structural prior information into multi-modal tomographic reconstruction, *Inverse Problems*, **30**(6), p.065004.
9. Van Eyndhoven G, Batenburg KJ, Sijbers J, 2014, Region-Based Iterative Reconstruction of Structurally Changing Objects in CT. *IEEE Transaction on Image Processing*, **23**(2), pp. 909–919.
10. Chen GH, Tang J, Leng S. 2008, Prior image constrained compressed sensing (PICCS): a method to accurately reconstruct dynamic CT images from highly undersampled projection data sets. *Medical Physics*, **35**(2), pp. 660–663.
11. Zhang H, Huang J, Ma J, Bian Z, Feng Q, Lu H, Chen W. 2014, Iterative Reconstruction for X-Ray Computed Tomography Using Prior-Image Induced Nonlocal Regularization, *IEEE Transactions on Biomedical Engineering*, **61**(9), pp. 2367–2378.
12. Kazantsev D, Thompson WM, Van Eyndhoven G, Dobson KJ, Kaestner AP, Lionheart WRB, Withers PJ, Lee PD. 2015, 4D-CT reconstruction with unified spatial-temporal patch-based regularization. *Inverse Problems and Imaging*, **9**(2).
13. Peyre G, Bogleux S, Cohen L. 2008, Non-local regularization of inverse problems, *Computer Vision ECCV*, pp. 57–68.
14. Buades A, Coll B, Morel JM. 2006, A review of image denoising algorithms with a new one, *Multiscale Model. Simul.*, **4**(2), pp. 490–530.
15. Bresson X. 2009, A short note for nonlocal TV minimization. URL: <http://www.cs.cityu.edu.hk/~xbresson/ucla/index.html>
16. Zhang X, Burger M, Bresson X, Osher S. 2010, Bregmanized nonlocal regularization for deconvolution and sparse reconstruction. *SIAM Journal on Imaging Sciences*, **3**(3), pp. 253–276.
17. Lou Y, Zhang X, Osher S, Bertozzi A. 2010, Image recovery via nonlocal operators. *Journal of Scientific Computing*, **42**(2), pp. 185–197.
18. Chan C, Fulton R, Barnett R, Feng DD, Meikle S. 2014, Postreconstruction nonlocal means filtering of whole-body PET with an anatomical prior. *IEEE Transactions on Medical Imaging*, **33**(3), pp. 636–650.
19. Jia X, Lou Y, Dong B, Tian Z, Jiang S. 2010, 4D computed tomography reconstruction from few-projection data via temporal non-local regularization, *Medical Image Computing and Computer-Assisted Intervention MICCAI 2010, Springer Science Business Media*, pp. 143–150.
20. Shearing PR, Eastwood DS, Bradley RS, Gelb J, Cooper SJ, Tariq F, Lee PD. 2013, Exploring electrochemical devices using X-ray microscopy: 3D micro-structure of batteries and fuel cells. *Microsc. Anal.*, **27**(2), pp. 19–22.
21. Jones JR, Poologasundarampillai G, Atwood RC, Bernard D, Lee PD. 2007, Non-destructive quantitative 3D analysis for the optimisation of tissue scaffolds. *Biomaterials*, **28**(7), pp. 1404–1413.
22. Nocedal J, Wright S. 2006, *Numerical Optimization*. Springer.
23. Combettes PL, Pesquet JC. 2010, Proximal splitting methods in signal processing. *arXiv:0912.3522*.
24. Rudin LI, Osher S, Fatemi E, 1992, Nonlinear total variation based noise removal algorithms, *Physica D.*, **60**, pp. 259–268
25. Kazantsev D, 2015, [C-OMP implementation of NLST method \(open-source code\)](#)
26. Perlin K. 2002, Improving noise, *ACM T. Graphic.*, **21**(3) pp. 681–682.
27. Palenstijn WJ, Batenburg KJ, Sijbers J. 2011, Performance improvements for iterative electron tomography reconstruction using graphics processing units (GPUs). *J. of Struct. Biol.*, **176**(2), pp. 250–253.

28. Wang Z, Bovik AC, Sheikh HR, Simoncelli EP. 2004, Image quality assessment: From error visibility to structural similarity, *IEEE Transactions on Image Processing*, **13(4)**, pp. 600-612.
29. Kohler T. 2004, A projection access scheme for iterative reconstruction based on the golden section, *IEEE Symposium Conference Record Nuclear Science 2004*, pp. 3961-3965.